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# Preparation of Gold Nanoplates Using Ortho Carbonyl Compounds as Capping Agents for Electrochemical Sensing of Lead Ions

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## Abstract

In this study, gold nanoplates were synthesized using plant molecules (gallic acid) following a kinetic control mode. The growth of nanoplates is mainly due to the specific adsorption of capping agents on certain crystal facets. Through systematical characterizations, it is found that the distance between two oxygen atoms in ortho carbonyl compounds matches well with the lattice spacing of gold (111) facets exactly, which is beneficial to the formation of twin seeds and further the growth of plate-like gold nanoparticles. The gold nanoplates on glassy carbon electrode show a remarkably improved electrochemical sensing activity of lead ions compared to the bare glassy carbon electrode or spherical gold nanoparticle-modified electrode. The modified electrode is expected to be used in the detection of lead ion concentration in heavy metal wastewater.

**Keywords:** Nanoparticle, Organic compounds, Electrochemistry, Wastewater

## Introduction

Thanks to the localized surface plasmon resonance (LSPR) property [1–4], gold nanoparticles (GNPs) have found many optical and electrochemical applications, including sensing, Raman spectroscopy, biological imaging, catalysis, biomedicine, and so forth [5–10]. The plasma properties of GNPs depend on their shape, size, composition and dielectric environment; especially, the near-field enhancement of anisotropic GNPs is often highly amplified due to their sharp structural characteristics [11, 12]. In various morphologies, two-dimensional gold nanoplates have attracted much attention due to their unique optical properties, high conductivity, thermal stability and catalytic activity [13–15]. In the past few decades, a series of preparation methods have been developed to synthesize two-dimensional gold nanoplates, including photochemical reaction method,

thermal decomposition method, seed-mediated method, microwave-assisted method and ultrasonic-assisted method [16–20]. However, most of these synthesis methods are not environmental friendly as they often involve the use of many surfactants or capping agents (cetyltrimethylammonium bromide, sodium dodecyl sulfate), chemical reducing agents (NaBH<sub>4</sub>), etc. [21–23].

In recent years, the vigorous development of green chemistry has promoted the preparation of gold nanoplates by biological method [24]. Biomass such as lemongrass, *aloe vera*, seaweed, alfalfa, *E. coli* and *Platycladus orientalis* extract has been used as reductive and protective agents to synthesize gold nanoplates [25, 26]. For example, Shankar et al. [27] developed a biological method to produce up to 45% gold nanoplates by citronella leaf extract. Montes et al. [28] successfully prepared anisotropic gold nanoplates with size of 500–4000 nm and thickness of 15–30 nm by reducing HAuCl<sub>4</sub> solution with the aqueous extract of alfalfa. Zhan et al. [29] reported a new method for the synthesis of gold nanoplates, i.e., the biological reduction of HAuCl<sub>4</sub> by using *Platycladus orientalis* extract with a kinetic control

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instrument. It is worth mentioning that the yield of gold nanoplates can be tuned by adjusting the experimental parameters, such as the feeding way/rate of the reagent, or the temperature and pH of the feed solution. For example, when the pH was 2.81 and the temperature was 60 °C, the yield of gold nanoplates could be up to 39% by injecting *Platycladus orientalis* extract into the gold precursor at a speed of 60 mL·h<sup>-1</sup>.

It is difficult to give the exact mechanism of the nucleation and growth of GNPs in biosynthesis because the real active molecules in plant extracts are difficult to distinguish [30]. In previous studies, it was found that polyphenols play an important role in the formation of gold nanoplates [31]. In this study, gallic acid as a representative of polyphenols was used to study the growth mechanism of GNPs. Through a wide spectrum of structural characterizations, the role of ortho carbonyl compounds in the growth of gold nanoclusters into twin seeds and then plate-like nanoparticles was identified, and these as-prepared gold nanoplates were further used in the electrochemical detection of lead ions.

## Material and Method

### Material

Chloroauric acid, gallic acid, sodium oxalate, ascorbic acid, potassium ferricyanide, cadmium dichloride and lead sulfate are all analytical pure and purchased from Aladdin Chemical Reagent Co., Ltd.

### Preparation of Gold Nanoplates

In a typical synthesis of gold nanoplates, a two-neck flask (50 mL) containing 10 mL chloroauric acid (1.0 mM) was preheated in an oil bath (equipped with magnetic stirring) at 30 °C for 5 min. Feed solutions (gallic acid, 0.6 mM, 10 mL) were simultaneously injected into the flask through syringe pump (Shenzhen medical equipment technology development Co., Ltd., SK-500, China) at the addition rate of 0.5, 1.0, 1.5, 2.0 and 2.5 mL·min<sup>-1</sup>, respectively. The reaction mixture was maintained stirring for an additional 30 min after the completion of feeding.

### Characterization

The UV–Vis spectrum of GNPs was measured by UV–Vis spectrophotometer (TU-1900, Beijing Purkinje General Instrument Co., Ltd., China) with water as reference, the scanning wavelength range was 330–1100 nm, and the scanning step length was 1.0 nm. Transmission electron microscopy (TEM), high-resolution transmission electron microscopy (HRTEM), selected area electron diffraction (SAED) and energy-dispersive spectroscopy (EDS) were performed on a Phillips Analytical FEI Tecnai 30 electron microscope (300 kV). Fourier transform

infrared spectrometer (FTIR) analysis was carried out by infrared spectrometer (Nicolet iS50, Nicolet company, USA), and the scanning wavenumber range was 400–4000 cm<sup>-1</sup>. Thermogravimetry (TG) analysis was carried out in the thermogravimetry analyzer (TG209F1, Netzsch, Germany). The temperature range was 30–800 °C, the heating rate was 10 °C·min<sup>-1</sup>, and the air flow rate was 20 mL·min<sup>-1</sup>. XRD measurements for the gold nanoplates were conducted on an X-ray diffractometer (Bruker D8 Advance, Germany) equipped with Cu K $\alpha$  radiation (40 kV, 30 mA). XPS analysis was performed on a Quantum 2000 spectrometer using the Al-K $\alpha$  line as the excitation source. Chromatographic separation was performed by means of an Agilent 1290 LC system equipped with a waters cortecs C18 column and a mobile phase consisting of formic acid solution (mixed with water, 10%) and methanol at a flow rate of 0.2 mL/min. The volume of extract injected was 20  $\mu$ L. The methanol gradient used was as follows: 10% at time ( $t$ )=0 min, 10% at  $t$ =1 min, 90% at  $t$ =8 min, 100% at  $t$ =12 min and maintained until  $t$ =13 min. MS detection was carried out using a Agilent 6550 mass spectrometer equipped with a heated electrospray ionization source, and all compounds were determined in the negative mode. The yield of the gold nanoplates was calculated by dividing the number of gold nanoplates by the total number of GNPs. In order to ensure the accuracy of the data, the number of nanoparticles analyzed was more than 1000.

### Electrochemical Sensing of Lead Ions

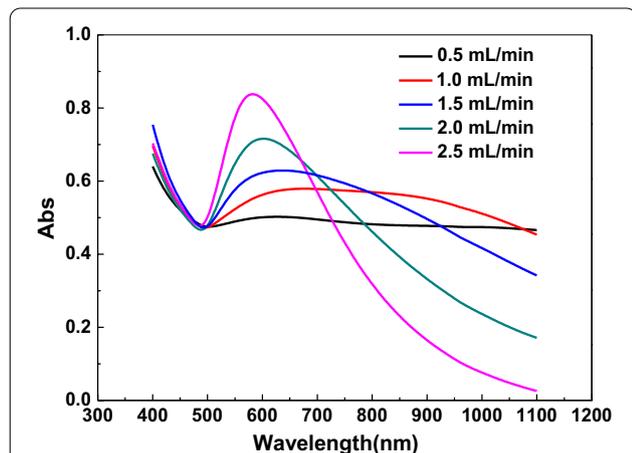
The glassy carbon electrode (GCE, 3 mm in diameter) was polished with 0.3 and 0.05  $\mu$ m alumina and then washed by ultrasonication for 15 min in ethanol and ultra-pure water, respectively. The as-prepared GNPs sol (100  $\mu$ L) was drop-casted on the glassy carbon electrode and dried in air. The casting of GNPs was repeated three times. Linear sweep voltammetry test was conducted with glassy carbon electrode (modified with GNPs) as the working electrode, a platinum wire as counter electrode and an Ag–AgCl electrode as reference electrode. The conditions of voltammetric test were: minimum voltage –2.0 V, maximum voltage 2.0 V and scan rate 1 mV·S<sup>-1</sup>. The concentration of lead ions in the voltammetric test is range from 1000 to 1 mg·L<sup>-1</sup>, and this concentration of lead ions usually appears in pollutant water samples [32, 33].

## Results and Discussion

### Effect of Feeding Rate

In order to avoid the violent nucleation and growth of GNPs, the feeding rate of gallic acid was controlled by an injection pump, which consequently regulates the release rate of gold atoms during the reduction process.

The effect of feeding rate on the yield of gold nanoplates was investigated. As shown in Fig. 1, as the feeding rate decreases, the surface plasmon resonance peak of



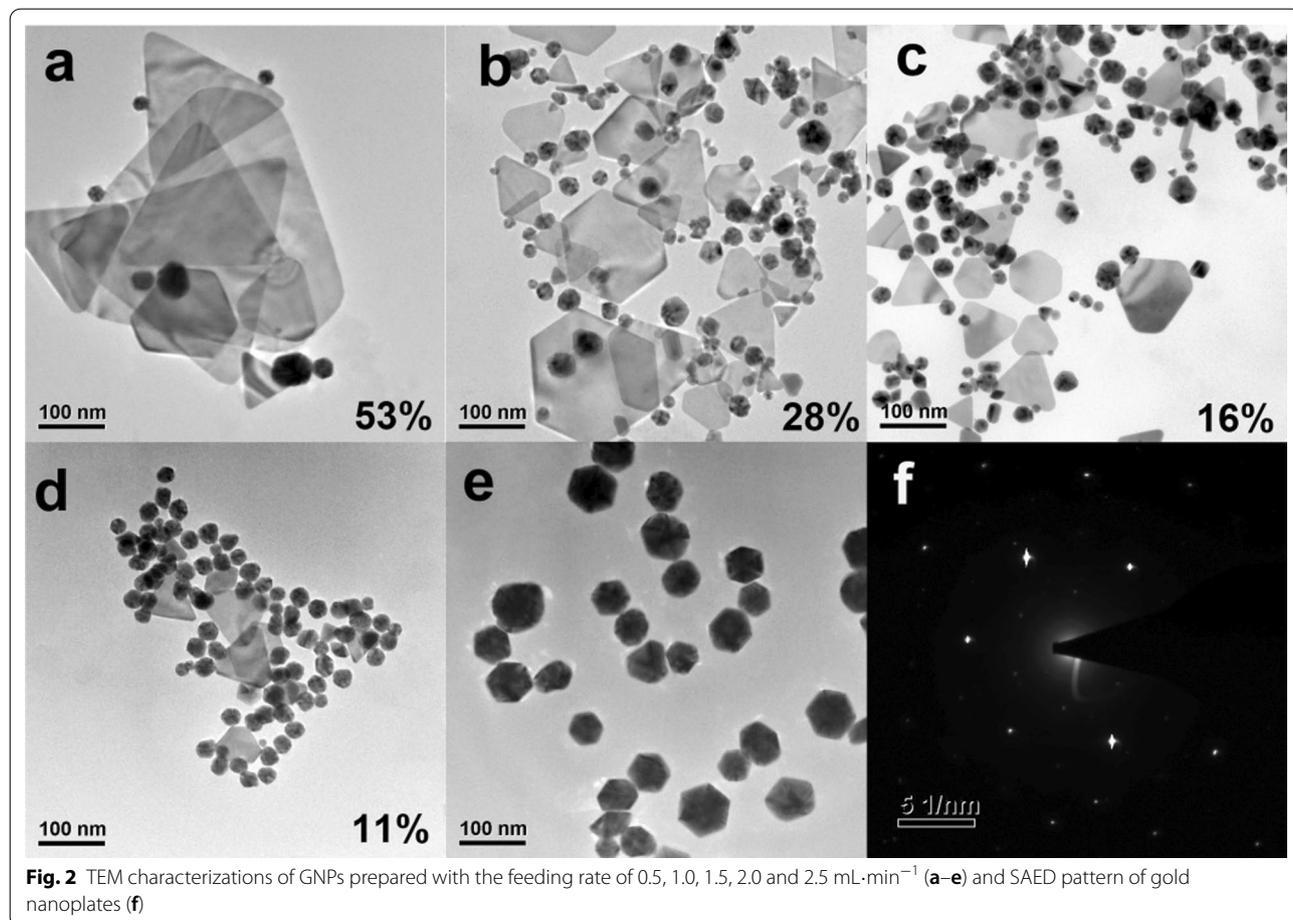
**Fig. 1** UV-Vis spectra of GNPs prepared with the feeding rate of 0.5, 1.0, 1.5, 2.0 and 2.5 mL·min<sup>-1</sup>

spherical GNPs gradually decreases, while a new absorption peak appears in the long wavelength region (such as red line in Fig. 1).

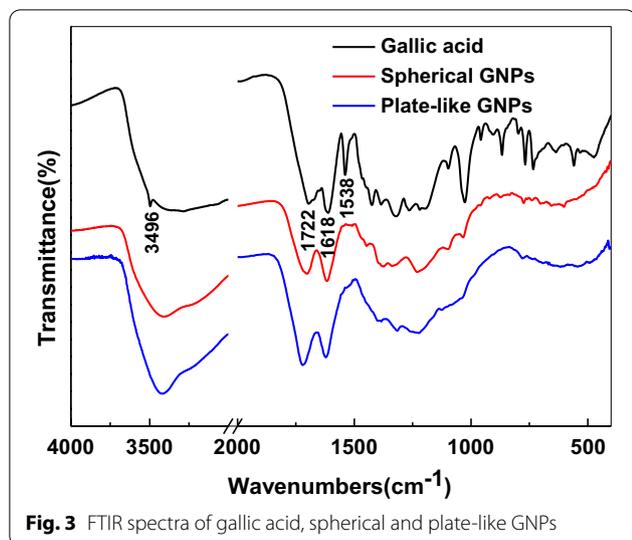
Figure 2 shows the transmission electron microscope (TEM) images of GNPs synthesized at different conditions. As the feeding rate decreases, the yield of the nanoplates increases from 0 to nearly 53%, and the side length of the nanoplates is about 500 nm. This result shows that a fast release of atoms is not conducive to heterogeneous nucleation, which requires twin seeds and suitable growth rate.

**Formation Mechanism of Gold Nanoplates**

FTIR spectra of gallic acid, spherical and plate-like GNPs are shown in Fig. 3. The peaks at 3496 and 1538 cm<sup>-1</sup> in the spectrum of gallic acid correspond to the phenolic hydroxyl and benzene ring, which disappear in the spectra of both spherical and plate-like GNPs. This means that gallic acid would not absorb on the nanoparticles. The peaks at 1722 and 1618 cm<sup>-1</sup> belong to carbonyl group, and carbon-carbon double bonds are observed in both the spherical and plate-like



**Fig. 2** TEM characterizations of GNPs prepared with the feeding rate of 0.5, 1.0, 1.5, 2.0 and 2.5 mL·min<sup>-1</sup> (a–e) and SAED pattern of gold nanoplates (f)

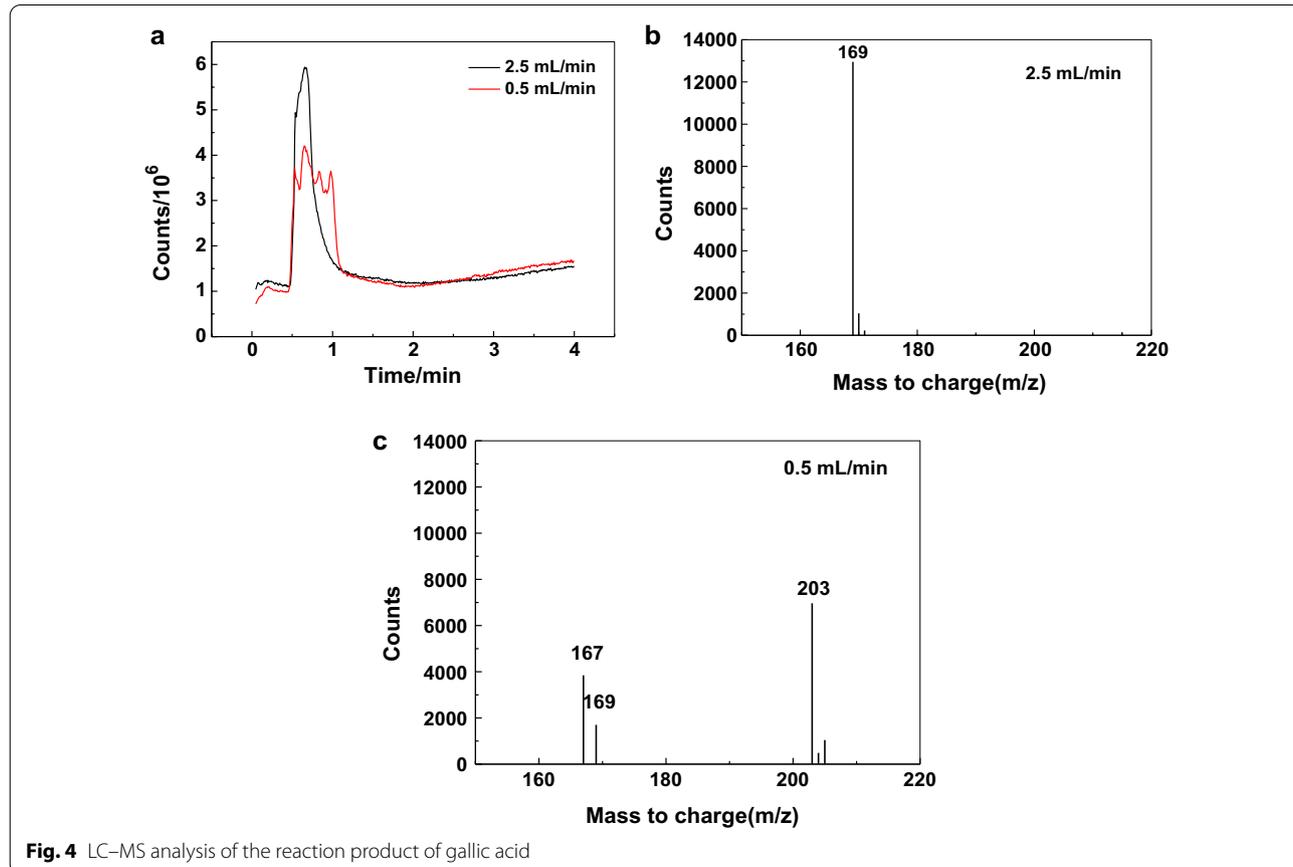


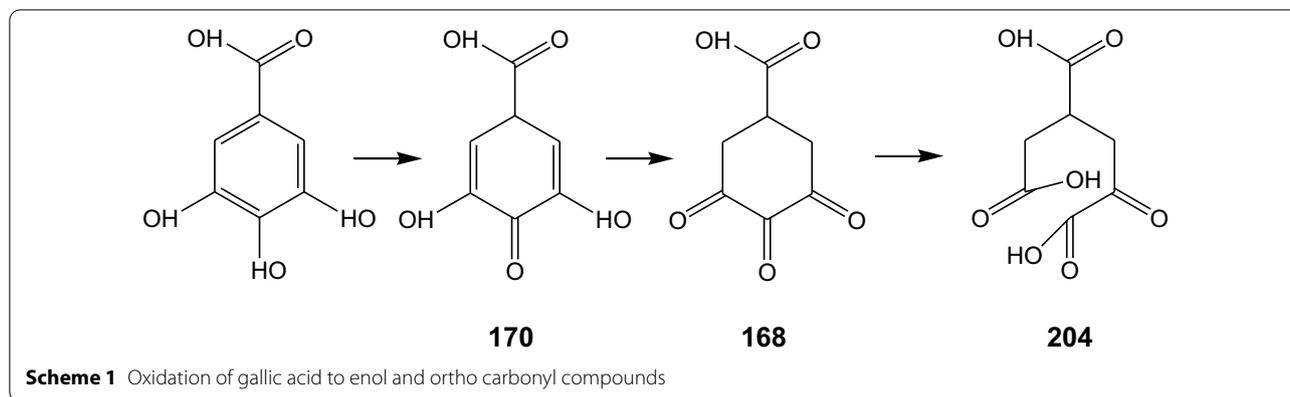
GNPs. The difference is that the absorption of carbonyl group is much stronger in the plate-like nanoparticles. This result indicates that the phenolic hydroxyl was oxidized to the enol structure (absorbed on spherical

nanoparticles) and further to the ortho carbonyl compound (absorbed on plate-like nanoparticles).

The supernatant of reaction product (5 ml/min and 0.5 ml/min, respectively) was analyzed by LC-MS. As shown in Fig. 4, for the supernatant obtained from the fast reaction, all the molecules are eluted in about 0.7 min from the column. At this time, the main substances detected by mass spectrometry are molecules with molecular weight of 169; while when the flow rate is slow, the reaction products of gallic acid are relatively complex, and the elution time is 0.5 to 1.1 min. At this time, the m/z of the molecule detected by mass spectrometry is 167, 169, 203, etc. Gallic acid could be oxidized to ortho carbon compounds, and even ortho carbon compounds could be further oxidized to form carboxylic acids. The results of LC-MS analysis showed that the plate-like nanoparticles were formed in a more carbonyl compounds environment.

As there were only gallic acid and chloroauric acid in the reaction system, its primary, secondary and further oxidation products (as shown in Scheme 1) might serve as capping reagents and induce the formation of GNPs. At the beginning of the reaction (with a feeding rate of 0.5 mL·min<sup>-1</sup>), chloroauric acid was excessive,



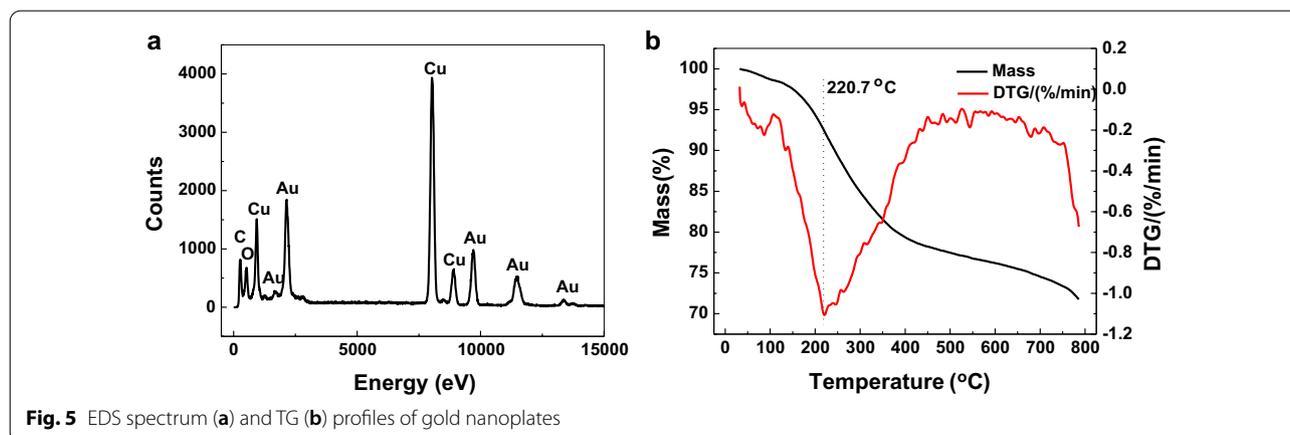


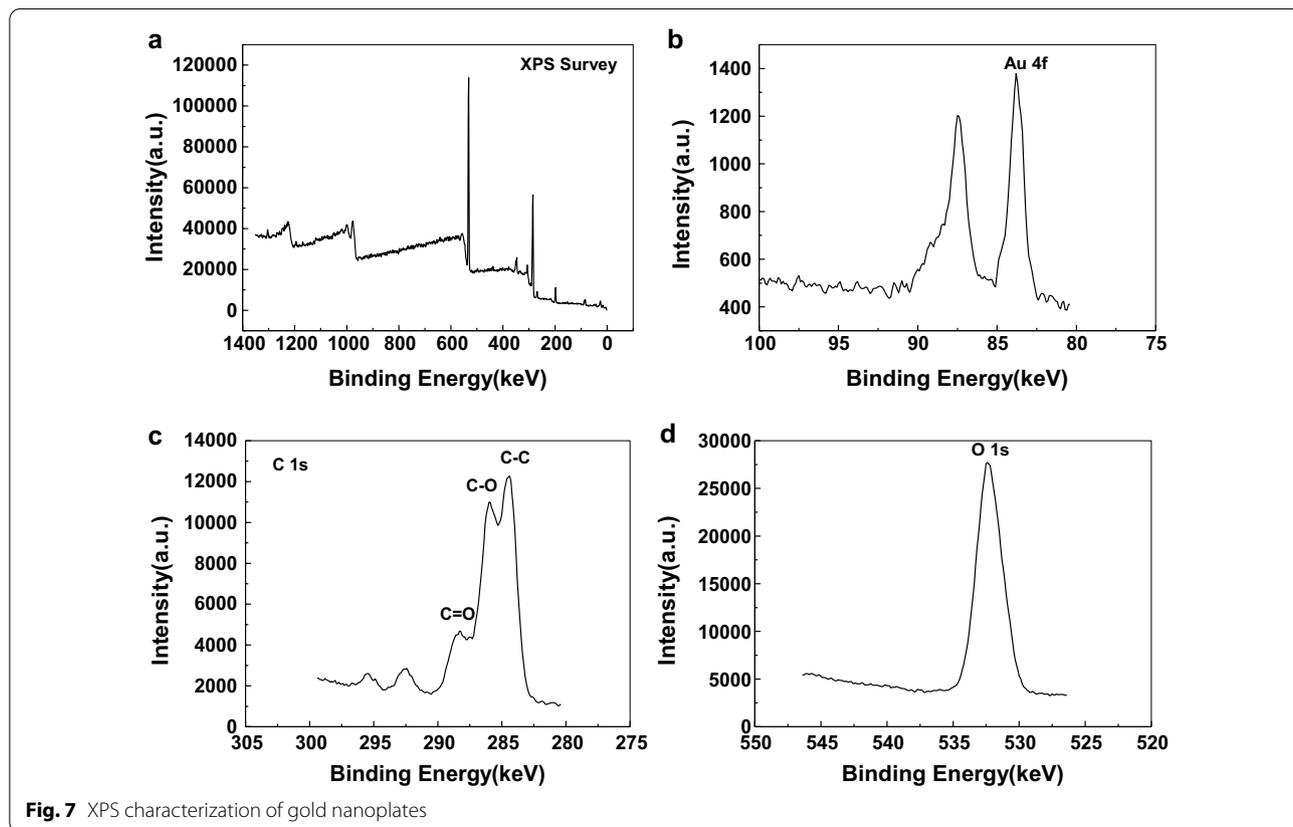
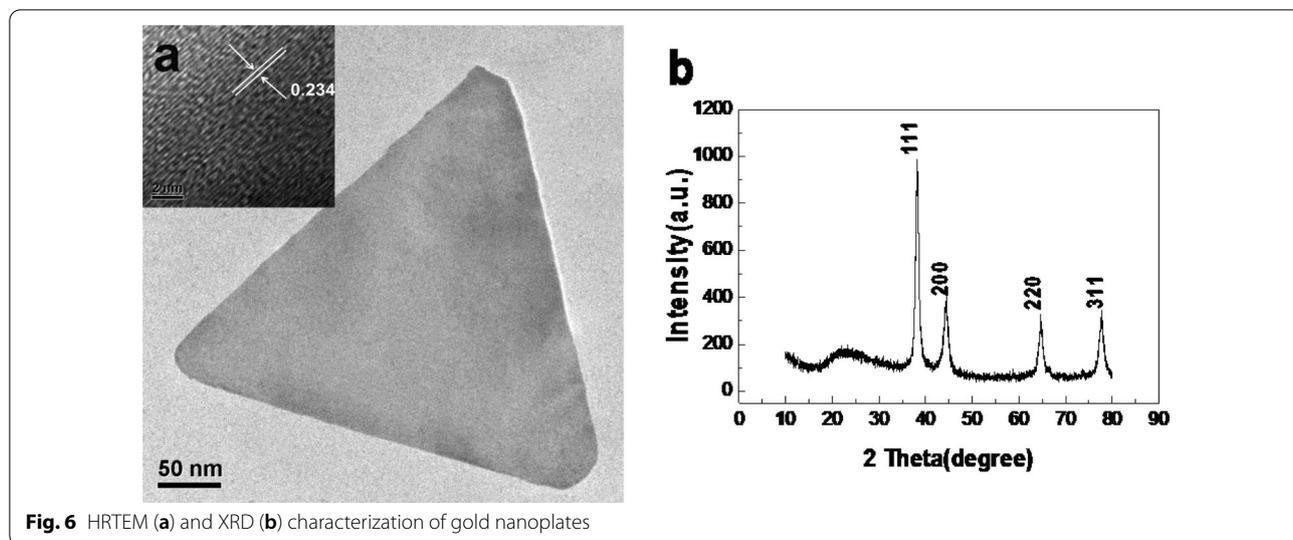
gallic acid would be completely oxidized to ortho carbonyl compounds, whereas at a high feeding rate (i.e.  $2.5 \text{ mL}\cdot\text{min}^{-1}$ ), the gallic acid could be oxidized to enol compounds.

In order to clarify the specific adsorption of ortho carbonyl on the gold nanoplates, the adsorbed molecules on the gold nanoplates were studied by EDS (Fig. 5a). Except for Au element, only C and O are found on the surface of gold nanoplates. The ratio of C to O on the surface of the gold nanoplates measured by EDS is 6.8:5 (815:599), close to that in gallic acid ( $\text{C}_7\text{H}_6\text{O}_5$ ) is 7:5. This indicates that the molecules on the surface of the gold nanoplates are mainly from the oxidation products of gallic acid. TG analysis was conducted to examine the residual molecules on the gold nanoplates. Evidently, Fig. 5b shows that the biomass accounts for 5.6% of the total weight of the gold nanoplates. The decomposition temperature of the biomass is in the range of 400–700 K, in accordance with that of organic matter [34]. This result suggests that the biomass adheres to GNPs as a thin layer and acts as protective agents that prevent the aggregation of the GNPs, which is in agreement with a previous report [35].

As we all know, the lattice spacing of different crystal planes is different. For example, the lattice spacing of Au (111) plane is 0.2355 nm and that of (100) plane is 0.408 nm, and the lattice spacing of (110) plane is 0.288 nm. Because of the different arrangement angles between atoms, the bond lengths formed by atoms on different crystal planes are also different. Au (111) plane is the most closely arranged, resulting in the least electronic defects, so the crystal plane energy is the lowest. In this study, the calculated distance between two rows of gold atoms is 0.234 nm (Fig. 6a). The XRD patterns of gold nanoplates (Fig. 6b) show four intense peaks at  $38.30^\circ$ ,  $44.58^\circ$ ,  $64.71^\circ$  and  $77.72^\circ$ , respectively, which represent the (111), (200), (220) and (311) facets of the face centered cubic crystal structure.

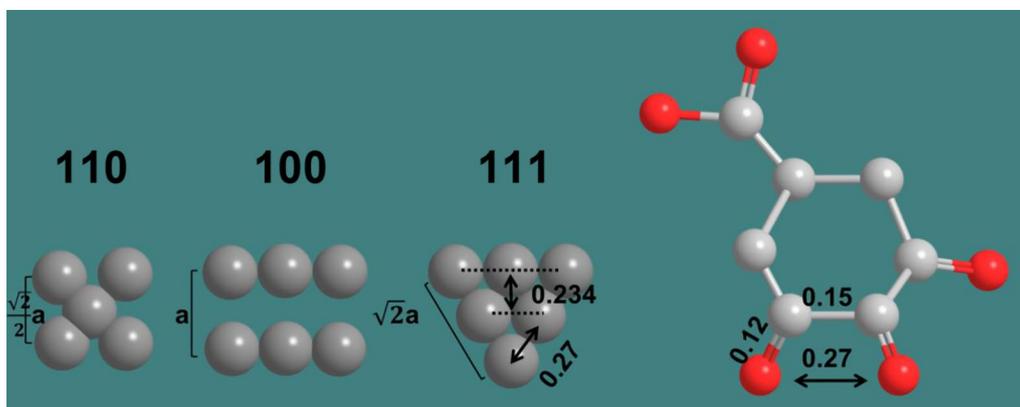
XPS analysis showed that the peaks of Au and O were similar to those reported in most studies [36] (Fig. 7), but the spectra of C were more complex. There were large absorption peaks at 284.5 keV, 286 keV and 288.3 keV, which could be attributed to C–C, C–O and C=O bonds, respectively. XPS spectrum also showed that there were many carbonyl compounds anchored on the surface of the gold nanoplates.





The primary and secondary oxidation products of gallic acid both have carboxyl and carbonyl group, and the difference is that the latter have ortho carbonyl groups. The bond length of C–C single bond and C=O double bond are 0.15 and 0.12 nm, respectively, whereas the four atoms of ortho carbonyl group form an isosceles

trapezoid with a 60° base angle (Scheme 2). Therefore, the distance between the two oxygen atoms can be calculated to be 0.27 nm, which matches the atomic distance of Au (111) planes. This result confirms that the ortho carbonyl group would preferentially adsorb on the surface Au (111) planes to form twin seeds.



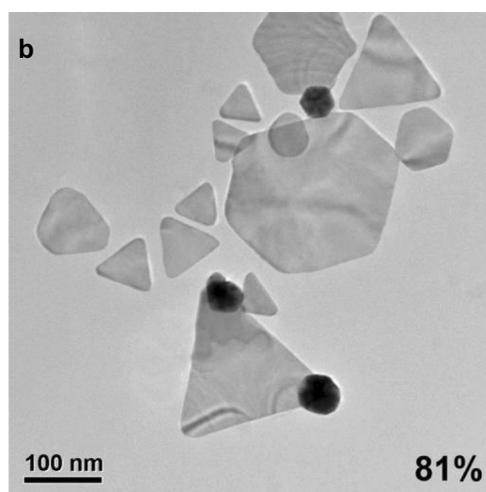
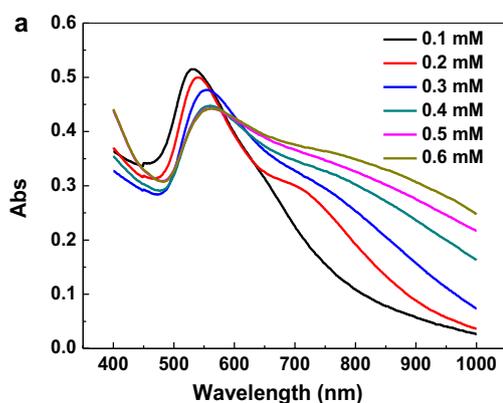
**Scheme 2** Schematic diagram of the preferential adsorption of capping reagents on Au (111) facet

### Preparation of Gold Nanoplates with Ortho Carbonyl Compounds as Capping Agents

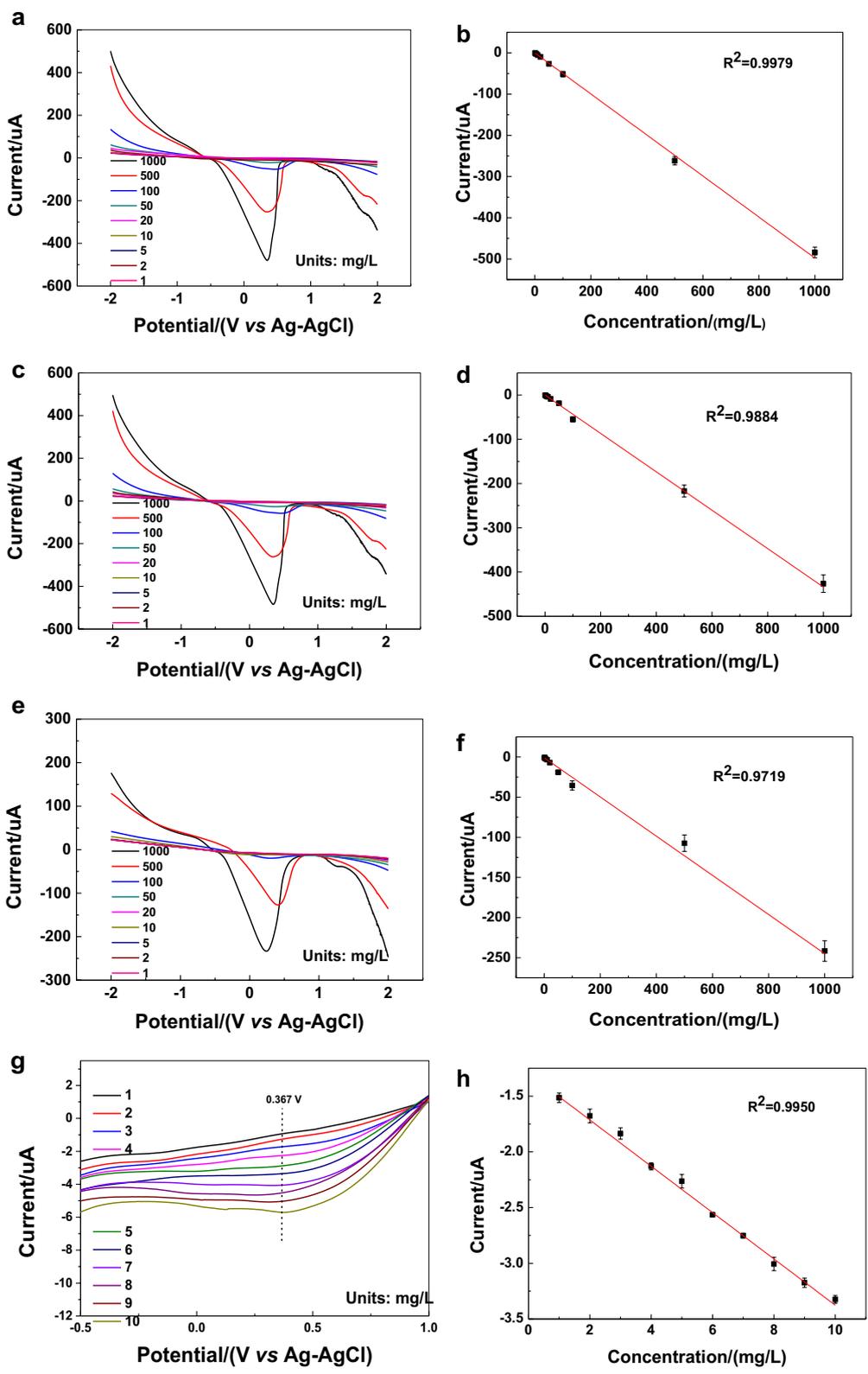
To further investigate the effect of ortho carbonyl compounds on the formation of gold nanoplates, sodium oxalate with similar structure was used as a protective agent and ascorbic acid as a reducing agent to prepare GNPs. When the concentration of ascorbic acid was 0.4 mM and the concentration of gold precursor was 1.0 mM. The as-prepared nanoparticles were characterized by UV–Vis spectroscopy (Fig. 8a). As the concentration of sodium oxalate increases from 0.1 to 0.6 mM, the absorption peak of spherical nanoparticles decreases gradually, while the absorption in the long wavelength region increases gradually. Through the TEM characterization, it can be found that when the concentration of sodium oxalate was 0.6 mM, most of the obtained nanoparticles possessed a plate-like morphology (Fig. 8b).

### Electrochemical Sensing of Lead Ions

The electrochemical response of plate-like nanoparticles, spherical nanoparticles and the bare GCE to lead ions is shown in Fig. 9. It can be found that the current response of plate-like nanoparticles to lead ions concentration shows a high linearity ( $R^2=0.9979$ , Fig. 9a, b), whereas for the spherical GNPs, the linearity between the concentration and the current value is lower ( $R^2=0.9884$ , Fig. 9c, d). The bare GCE shows an even lower linearity ( $R^2=0.9719$ , Fig. 9e, f) between the concentration and the current in the concentration range of 1000–10 mg·L<sup>-1</sup>. What is more, the current response of bare GCE is much weaker than that of electrodes loaded with GNPs. The plate-like GNPs have active edges and therefore show amplified signals in the lead ion solution [37, 38]. The durability of gold nanoplates-modified GCE was further evaluated in the electrochemical test of lead ions



**Fig. 8** Preparation of GNPs with sodium oxalate as protective agent: **a** UV–Vis spectra; **b** TEM image



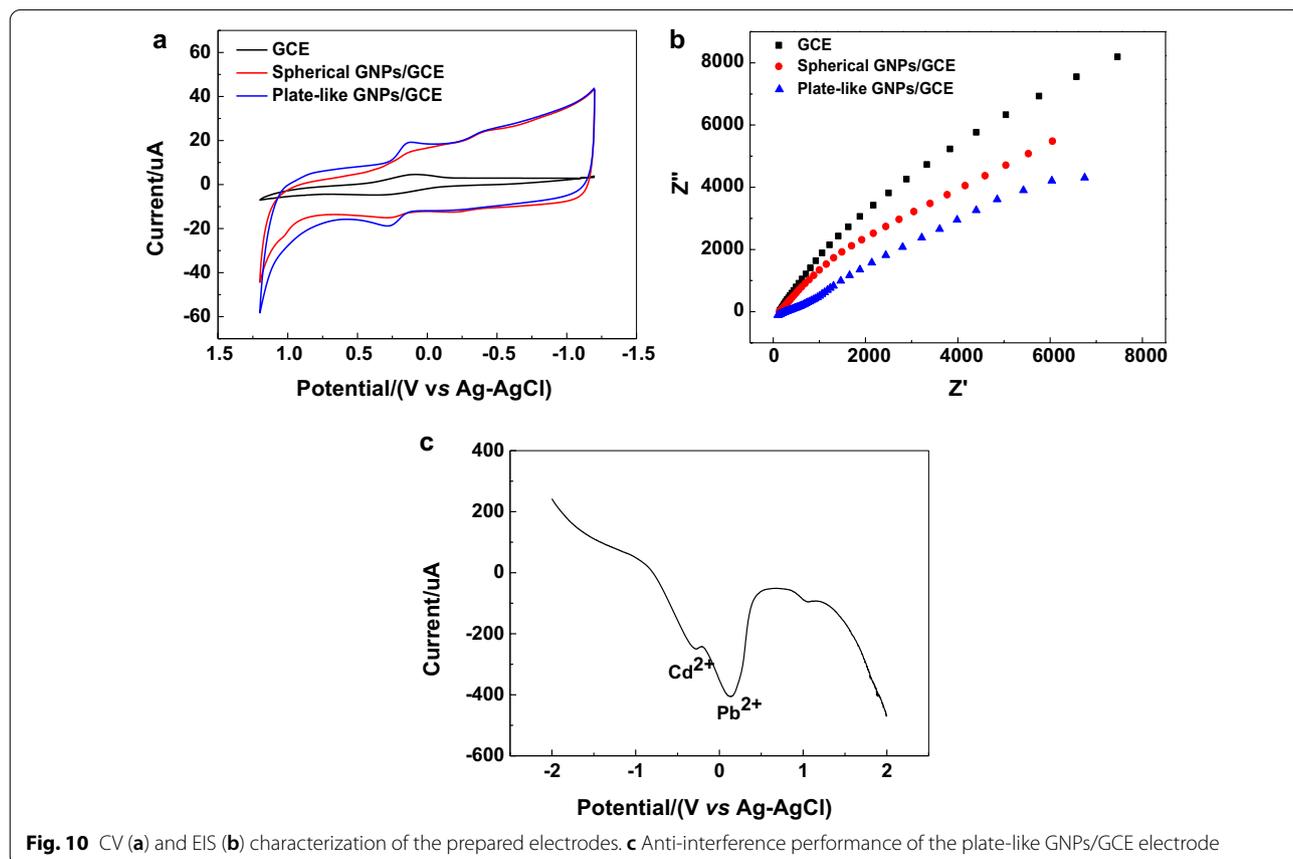
**Fig. 9** The application of GNPs in the detection of lead ions by linear sweep voltammetry method

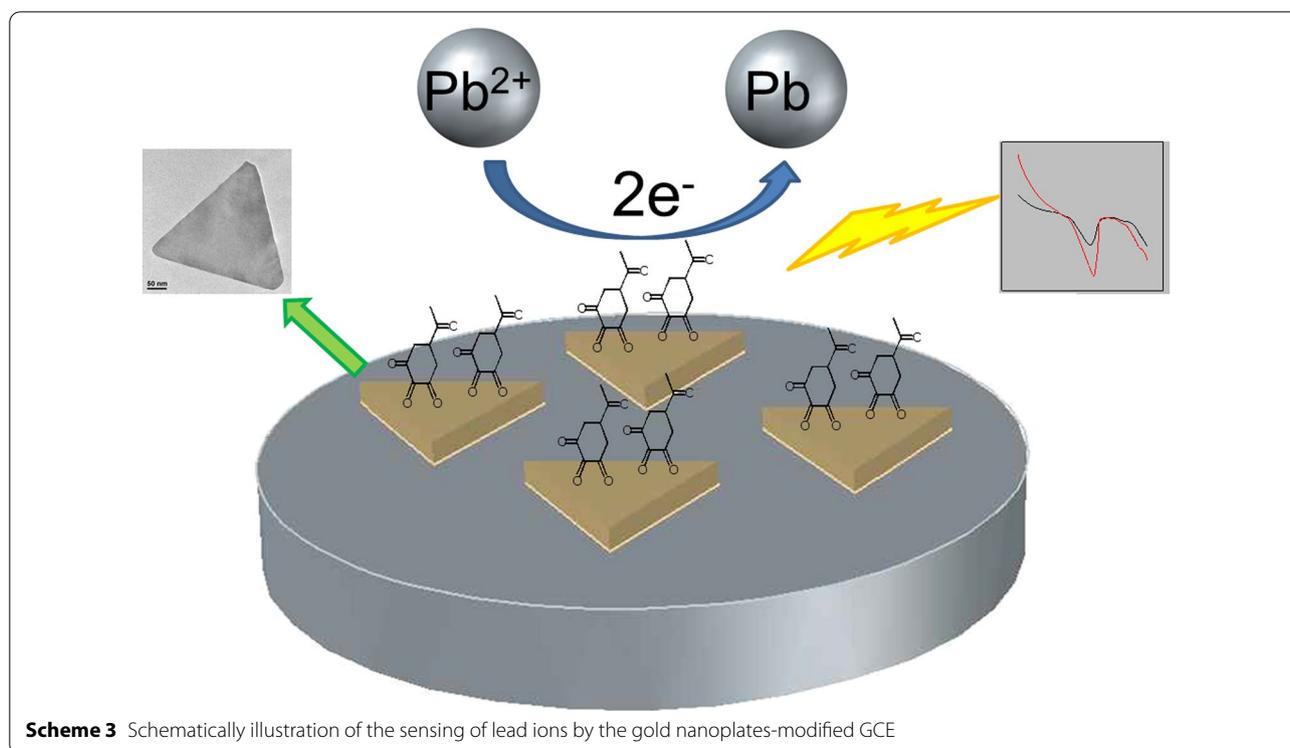
after being placed at ambient atmosphere for 3 weeks. As shown in Fig. 10g, h, the relationship between the concentration and the current remains a high linearity ( $R^2=0.9950$ ), and this modified electrode is expected to be used in the detection of lead ion concentration in heavy metal wastewater.

To investigate the surface characteristics of the modified electrodes, the prepared electrodes were characterized by CV in 1.0 mM  $K_3[Fe(CN)_6]$  solution with 0.5 M KCl as a supporting electrolyte. The potential scan ranged from  $-1.2$  to  $1.2$  V, and the scan rate was  $0.05$  V·s $^{-1}$ . According to Fig. 10a, a distinct redox peak was detected in the case of bare GCE. When the GCE surface was modified with GNPs, the current response was higher than that of bare GCE. This increase is attributed to the fact that GNPs can promote the electron transfer and improve the conductivity of the electrode. It should be mentioned that the increase of current response would be higher when the GCE was modified by gold nanoplates. What is more, the electrode interface property is also investigated by electrochemical impedance spectroscopy (EIS), and the results are presented in Fig. 10b. The radiuses of the semicircle in Nyquist plot represent the charge transfer resistance ( $R_{ct}$ ). The  $R_{ct}$  of both spherical

and plate-like GNPs-modified electrodes is much lower than that of bare GCE, because of the high conductivity of GNPs. Another major problem hindering the accurate detection and identification of lead ions is the interference from other heavy metal ions. The anti-interference performance of the plate-like GNPs/GCE electrode was tested in a mixed solution containing  $1.0$  g·L $^{-1}$  lead ion and  $1.0$  g·L $^{-1}$  cadmium ion. As shown in Fig. 10c, there is no obvious change in the potential and current response intensity of the characteristic peak of lead ion, while the difference between the peak positions of cadmium ion and lead ion is  $433$  mV, indicating that the plate-like GNPs/GCE electrode has good selectivity and anti-interference ability.

In linear sweep voltammetry, the peak potential is related to the nature of the substance and the supporting electrolyte, while the peak current is linearly related to the concentration of the substance. In this experiment, a bare GCE could detect the concentration of lead ion, but the detection accuracy is low due to the surface passivation and other influence factors. GNPs have good conductivity and special surface properties, which can reduce the impedance of the electrode, thus amplifying the current signal and obtaining better accuracy in the





detection of lead ions (Scheme 3). Owing to unique interaction between lead ions and ortho carbonyl compounds, which anchored on the gold nanoplates, it shows a well selectivity with lead ions.

### Conclusions

In conclusion, gold nanoplates have been synthesized by plant molecules. The formation of nanoplates is mainly due to the specific adsorption of ortho carbonyl compounds on the gold (111) facets. The distance between two oxygen atoms matches well with the spacing of gold (111) facet, which is beneficial to the formation of twin seeds and further the growth of plate-like GNPs. Due to the distinctive "edge effect" of gold nanoplates, the signal of lead ions in the linear sweep voltammetry test is much stronger than that of the bare electrode or spherical gold nanoparticle-modified electrode. The developed gold nanoplates are expected to be used in the detection of lead ion concentration in heavy metal wastewater.

### Abbreviations

LSPR: Localized surface plasmon resonance; GNPs: Gold nanoparticles; TEM: Transmission electron microscopy; HRTEM: High resolution transmission electron microscopy; SAED: Selected area electron diffraction; EDS: Energy-dispersive spectroscopy; FTIR: Fourier transform infrared spectrometer; TG: Thermogravimetry; XRD: X-ray diffractometer; XPS: X-ray photoelectron spectroscopy; LC: Liquid chromatograph; MS: Mass spectrometer; LC-MS: Liquid chromatograph-mass spectrometer; GCE: Glassy carbon electrode; CV:

Cyclic voltammetry; EIS: Electrochemical impedance spectroscopy;  $R_{ct}$ : Charge transfer resistance.

### Acknowledgements

Not applicable.

### Authors' contributions

XJ, GG and XH conceived and designed the experimental works; JM, GJ and MX performed the experimental works; XJ and XD contributed materials, reagents and analysis tools used in this study; XJ drafted the first version of the manuscript. All authors read and approved the final manuscript.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the co-responding author on reasonable request.

### Declarations

#### Competing interests

The authors declare that they have no conflict of interest.

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